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Synthesis of Transition Metal-Nanochitosan Composites Using Ni, Cu, Zn, and Ag Metal Ions and Applications as Antibacterial Agents

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Abstract: Nanocomposites are used as antibacterial agents in the pharmacology sector. Therefore, this research aimed to investigate the synthesis, characterization, and antibacterial activity of the transition metal-nanochitosan composites (TM-NCs) using Ni (nickel), Cu (cuprum), Zn (zinc), and Ag (silver) as TM and nanochitosan as the nanomaterial. TM-NCs were synthesized using precipitation method with sodium tripolyphosphate (STPP) as a cross-linking agent. The synthesized products were characterized using X-ray Fluorescence (XRF), X-ray Diffraction (XRD), Fourier Transform Infrared (FTIR), and Scanning Electron Microscopy (SEM) instruments. The metal phase attached to NCs was a metal oxide with irregular particle shapes and various particle sizes. Meanwhile, chitosan and STPP functional groups, namely NH₂ and P-O were bound to the metal to form TM-NCs nanocomposite. The test for antibacterial activity against gram-positive (*Streptococcus pyogenes* ATCC 19615 and *Bacillus cereus* ATCC 10556) and gram-negative (*Escherichia coli* ATCC 11229 and *Klebsiella pneumoniae* ATCC 13883) bacterial strains was carried out using the well-diffusion method. The results showed that Ni-NCs antibacterial activity had the largest inhibition zone compared to the other TM-NCs. Furthermore, Ni-NCs presented the largest inhibitory zone diameter (21.74 mm) towards the gram-positive bacterium *S. pyogenes*.

Keywords: Antibacterial; Chitosan; Cross-linking agent; Nanocomposite; Transition metal; Transition metal-nanochitosan

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1. Introduction

Nanotechnology is a branch of science that studies the application of nanoparticles for various purposes, such as nanocomposites. Nanoscience is a fascinating field since nano-sized composites have high surface reactivity, a greater surface area, and special physicochemical characteristics (Usman et al., 2018) that attract the attention of researchers. Nanoscience is used in various fields, including environmental science (Zamiah et al., 2021; Choi, 2016), biomedicine, biosensors (Supriyono et al., 2023; Wibowo et al., 2021; Hayat et al., 2019; Manikandan et al., 2016; Rezaei et al., 2016), catalysts (Riyadhi et al., 2022), packaging (Haerudin et al., 2010), food processing (Patrulea et al., 2015), antibacterial (Omar et al., 2020), antifungal, and antioxidant (Vieira et al., 2022). Meanwhile, transition metal (TM) nanoparticles have been reported to have various bioactivities, including antibacterial activity. Several research have used biopolymers as ecologically benign stabilizers for metal nanoparticles. Tien and friends stated that silver (Ag) in nanoparticles was more efficient to use as an antibacterial agent than Ag in its ion and bulk form (Kaur et al., 2013; Tien et al., 2009). Cuprum (Cu) nanoparticles were also reported to inhibit the growth of *Escherichia coli* (*E. coli*) and *Klebsiella pneumoniae* (*K. pneumoniae*) bacteria (Syame et al., 2017).

TM nanoparticles tend to agglomerate (Wang et al., 2019), and this tendency is a weakness that can affect their chemical and biological properties. Therefore, modification, including the addition of stabilizers (hydrogels and polymers), is required to control the formation of nanoparticles and dispersion stability during the synthesis of TM nanoproducts. Chitosan (Cs) is a commonly used biopolymer due to its hydroxyl (-OH) and amine (-NH₂) groups, which can bind to TM ions and lessen TM nanoparticle agglomeration (Kusrini et al., 2021; Chandrasekaran et al., 2020). Chitosan has antibacterial properties (Bashal et al., 2022; Yuan et al., 2016), degrades (Salehi et al., 2016), and is non-toxic, implying that it can increase the bioactivity of TM nanoparticles (Annur et al., 2022). Modification of TM nanoparticles with chitosan polymer as matrix produces nanocomposites. Chitosan nanocomposites (NCs) and their applications have been reported by Usman et al. (2024), Kusrini et al. (2023a; 2023b), and Rosman et al. (2023).

Kusrini and coworkers reported praseodymium-chitosan (Pr-Cs) nanocomposites as adsorbent to remove fluoride ions from aqueous solution (Kusrini et al., 2019). Samarium-chitosan composites (Sm-Cs) were reportedly used in drug delivery systems due to the potential to increase medication (Kusrini et al., 2014). Meanwhile, lead-chitosan (Pd-Cs) nanocomposites can potentially cure cancer cells (Shahriari et al., 2021). Other metal-Cs nanocomposites showed reasonable antibacterial activity against various pathogens (Bharathi et al., 2019; Packirisamy et al., 2019; Bui et al., 2017). Previous research found that nickel oxide-chitosan nanocomposites was used in biomedical applications due to their excellent antibacterial activity against a variety of pathogenic bacteria (Rathore et al., 2021; Mizwari et al., 2020; Das et al., 2018; Rahman et al., 2018).

Metal-Cs are bound through electrostatic interactions between polymer functional groups and nanoparticles, while other interactions occur by ion exchange between metal anions in acid solutions and Cs. Both types of interactions produce metal-Cs composites (Dhanavel et al., 2018; Salehi et al., 2016). The synthesis and application of NCs have been extensively explored by various methods, such as emulsion cross-linking (Riegger et al., 2018), conservation of suspensions (Aloys et al., 2016), reverse micellar extraction (Yang et al., 2010), emulsion-droplet coalescence (Balcerzak et al., 2013), ionic gelation (Usman et al., 2018), and sieving (Yang et al., 2010). However, these methods are complex, relying on organic solvents, surfactants, precipitating agents, and potentially hazardous binding agents such as glutaraldehyde (Aditiya et al., 2015). An alternative material for cross-linking that is safe to use is known as sodium tripolyphosphate (STPP). Chitosan and tripolyphosphate can cross-link using STPP, producing micrometer-sized chitosan (Lee et al., 2001). Exposing chitosan to an acidic environment causes -NH₂ group in its structure to become protonated to -NH₃⁺, converting the chitosan to a polyelectrolyte. The positively charged amino groups of chitosan and the negatively charged counter-ions of STPP will interact ionically when STPP is added as a cross-linking agent, enabling the successful production of chitosan beads. STPP

can interact with chitosan through electrostatic interactions to form a bead structure with better stability, and a shorter time required for formation. Chitosan, which is a crystalline polymer (Suhaimi et al., 2025), will experience a decrease in crystallinity after being cross-linked with $H_3P_3O_{10}^{2-}$ from STPP. The tripolyphosphate ion from STPP donates a (-OH) group as an electron donor, thereby increasing the number of metal ions bound to the active site. The more ions that are bound, the greater the antibacterial activity. Meanwhile, dispersing metal nanoparticles onto chitosan uses various surfactants such as Tween 20 and 80, sodium dodecyl sulfate, trisodium citrate, and span-20. Among these surfactants, Tween 20 is often used because it can reduce interfacial tension and increase the adhesion of TM nanoparticles to chitosan (Bartmański and Pawłowski, 2021).

NiO nanoparticles synthesized using the coprecipitation method had a high antibacterial effect against gram-negative bacteria *K. pneumoniae* and *P. Vulgaris* (Rahman et al., 2018). The synthesis of Cu/ZnO nanoparticles by the coprecipitation method showed perfect activity against bacteria (Abureesh et al., 2018). ZnO nanoparticles showed good antibacterial activities (Emami-Karvani and Chehrazi, 2011), while nanoparticles of ZnO, TiO₂, and Ag₂O combined with chitosan showed increased antibacterial activities (Bui et al., 2017). According to several reported research, synthesis was carried out using reducing agents such as hydrazine hydrate (Peña-Miller et al., 2020), sodium borohydride (NaBH₄) (Dhanavel et al., 2018), and ethylene glycol (Ahmed et al., 2021), which can cause toxicity (Kaur and Kyle, 2022). Therefore, it is necessary to synthesize TM nanoparticles without using toxic reducing agents.

The purpose of this research was to create transition metal-chitosan nanocomposites (TM-NCs) by the co-precipitation method without using reducing agents. Meanwhile, STPP and tween-20 were used as cross-linking and dispersing agents, respectively. The synthesis and characterization of TM-NCs were reported in detail, where TM is transition metal (Nickel (Ni), Cu, Zinc (Zn), or Ag) and NCs is Nano chitosan. The effects of TM in forming TM-NCs towards gram-positive and gram-negative pathogens, including Streptococcus *pyogenes* (*S. pyogenes*), Bacillus *cereus* (*B. cereus*), *E. coli*, and *K. pneumonia*, were evaluated.

2. Methods

2.1. Materials

The main ingredient in this research was commercial chitosan of pharmaceutical grade (Mr. 501.5 and deacetylation degree of 87.5%). Tween-20, Ni (CH₃COO)₂, Cu₂(CH₃COO)₄, Zn (CH₃CO₂)₂, Ag (CH₃CO₂), and glacial acetic acid were purchased from Merck (Germany) with pro-analytical quality. Meanwhile, sodium tripolyphosphate was purchased from Sigma Aldrich (USA).

2.2. Synthesis of NCs

NCs was synthesized using a precipitation according to the method modified by Dhanavel et al. (2018). A chitosan solution in 2% acetic acid was agitated for 1 hour before being allowed to stand for 24 hours and filtered. Subsequently, Tween-20 was gradually added (Lee et al., 2001), followed by STPP before stirring for 45 minutes. The acidity of the sample was adjusted to the range of 6.5–6.8 by adding 1 M NaOH. The suspension formed was filtered and dried at 120°C for 3 hours, and the obtained result labeled as NCs.

2.3. Synthesis of TMs-NCs

A chitosan solution in 2% acetic acid was agitated for 1 hour before being allowed to stand for 24 hours and filtered. The subsequent phase was the gradual addition of Tween-20 (Lee et al., 2001), followed by 15 wt% nickel acetate metal salt and STPP while stirring for 45 minutes. The sample acidity was adjusted to the range of 6.5–6.8 by adding 1 M NaOH. The suspension formed was filtered and dried at 120°C for 3 hours and the results labeled as Ni-NCs nanocomposites. The same procedure was repeated for the preparation of Cu, Zn, and Ag to produce Cu-NCs, Zn-NCs, and Ag-NCs nanocomposites.

2.4. Characterization of TMs-NCs

Diffractogram analysis was performed using X-ray Diffraction (XRD) (Shimadzu 700 maxima) at a wavelength of 0.154 nm from Cu K α radiation, with a voltage of 40 KV and a current of 50 mA. Data were recorded between 2 theta angles of 10° and 80° with a scanning phase of 2° min⁻¹ or 0.04°/s. The functional groups of NCs and TM-NCs were investigated using FTIR (Shimadzu Prestige 21) and the morphology was analyzed using Scanning Electron Microscopy (SEM) (Jeol JSM-IT200).

2.5. Antibacterial Investigation

Antimicrobial properties of TM-NCs were determined using the well-diffusion methods (Anitha et al., 2018). Muller Hinton Agar (MHA) was poured into a Petri dish and autoclaved at 121°C for 20 minutes to obtain a solid agar medium. Fresh bacterial cultures were distributed with a sterile cotton swab throughout the dish using a spread plate method. In the next phase, holes were drilled in MHA with a 6 mm cork borer. Each TM-NC and control with a concentration of 15% (w/v) dropped as much as twenty microliters on the hole. The inhibition zone was measured after incubation at 37°C for 24 hours.

3. Results and Discussion

3.1. Crystallinity and Phase Composition

The phase composition and crystallinity of TMs-NCs nanocomposite were analyzed using XRD. The results were also used to determine the success of the preparation of TMs-NCs. The characteristic peaks of chitosan (JCPDS data card # 00-039-1894) appeared at 20 of 23.8, 29.9, and 36.3°, which corresponds to basal spacing d = (2 0 1), (1 2 2), and (3 1 2) (Figure 1). The results showed that the diffraction pattern of commercial chitosan was consistent with the characteristics of chitosan reported by previous research (Kaur et al., 2021; Narudin et al., 2020).



Figure 1 XRD pattern of commercial chitosan

A significant change in the diffraction pattern characterizes the change in chitosan into nano chitosan. Figure 2A shows that the characteristic peak of chitosan disappears and broadband appears at an angle of $2\theta = 15-35^{\circ}$. The shift in the diffraction pattern shows that chitosan structure shifted from crystalline to amorphous nanoparticles. The results of this research are consistent with the research by Morsy et al. (2019).

Figure 2B-2E shows that the diffraction patterns of TM-NCs samples are similar. This pattern signifies that chitosan nanoparticles are the dominant phase (NCs). Previous research showed that the diffraction pattern of NCs was typical of an amorphous structure (Khoerunnisa et al., 2018).

NCs comprise an extensive network of interpenetrating polymer chains connected by TPP counter ions (Tang et al., 2003). Chitosan nanoparticle chains will disintegrate after cross-linking, resulting in crystallinity degradation.

In this research, TM was proven to be embedded in NCs by comparing the diffraction patterns of TM-NCs and standards using Rietveld refinement with Rietica software. The diffraction patterns of each TMs-NCs sample were compared to standard diffraction patterns from the Cambridge Crystallographic Data Center (CCDC # 1951228) for Cs and the Inorganic Crystal Structure Database (ICSD) for the oxides NiO (#61544), CuO (#61323), ZnO (#52362), and AgO (#605625).

The peaks of Ni-NCs at 23.59° and 34.56° are similar to Cs and Ni pattern characteristics. This peak is close to the standard Cs peak at $2\theta = 21.84^{\circ}$ and the standard Ni peak at $2\theta = 37.30^{\circ}$. Cu-NCs peaks at 23.44° and 36.10° were close to the standard Cs peaks at $2\theta = 21.84^{\circ}$ and the standard Cu peaks at $2\theta = 36.71^{\circ}$. The peaks of Zn-CNs at 23.65° and 33.98° are close to the peaks of standard Cs, which are at $2\theta = 21.84^{\circ}$ and $2\theta = 34.32^{\circ}$, respectively. Ag-NCs had 2θ peaks at 22.63° , 32.60° , 43.44° , 50.71° , and 74.59° , which were close to Cs standard peak at $2\theta = 21.84^{\circ}$, and Ag standard peak at $2\theta = 44.3^{\circ}$ from the face-centered cubic structure of Ag with the Milller index of 200 (Ali et al., 2011).



Figure 2 XRD patterns of (A) NCs, (B) Ni-NCs, (C) Cu-NCs, (D) Zn-NCs, and (E) Ag-NCs

The diffraction pattern analysis showed that the calculated (-) and experimental (+) data were comparable (observed by a straight green line), showing that the Rietveld refinements are acceptable (see Figures S1- S8). Each TM-NCs data set was compatible with ICSD and CCDC oxide and chitosan standards, with Rp/Rwp values of 6.07 and 7.83, 5.89 and 8.12, 7.25 and 7.37, and 8.82 and 9.48, for Ni-NCs, Cu-NCs, Zn-NCs, and Ag-NCs, respectively (see Table S1).

3.2. Functional Group Analysis

The qualitative functional groups of NCs were investigated by comparing the vibrational wavenumbers of Cs and NCs (see Figure 3). Fourier Transform Infrared (FTIR) spectra of chitosan showed a broad absorption at 3419 cm⁻¹, signifying an overlap in the stretching vibration of OH group with NH group of the amine. The vibrational bands at 2977 and 2941 cm⁻¹ correspond to antisymmetric and symmetric stretching vibrations of C-H, respectively (Thandapani et al., 2017). A vibrational band at 1579 cm⁻¹ represents C=O stretching vibration of amide II, while the bands at 1548 and 1475 cm⁻¹ is typical of chitosan, corresponding to -NH₂ and -NH groups, respectively (Shenvi et al., 2014). The band around 1420 cm⁻¹ was assumed to be caused by CH₃ bending vibration of the acetyl group. The band at 1242 cm⁻¹ corresponds to C-N stretching vibration of the N-acetyl glucosamine group. Meanwhile, the band at 1073 cm⁻¹ is due to out-of- plane N-H bending vibrations.



Figure 3 FTIR spectra of Cs and NCs

The shift and narrowing of the absorption from 3394 cm⁻¹ to 3419 cm⁻¹ showed less hydrogen bonding in NCs due to a more open structure generated by STPP cross-linking (Thandapani et al., 2017). The vibrational bands due to C-H in Cs shifts from 2977 and 2941 cm⁻¹ to 2930 and 2855 cm⁻¹ in NCs. Furthermore, the vibrations of chitosan -NH₂ and -NH groups appeared at 1548 and 1475 cm⁻¹ were observed as a new absorption at 1603 cm⁻¹ in NCs, signifying protonation of the amine group into -NH₃⁺. This is due to the cross-linking process at acidic pH (Shenvi et al. 2014). The vibrational band at 1107 cm⁻¹ shows the presence of P=O stretching, while the band at 929 cm⁻¹ shows P-O bending, a functional group on the tripolyphosphate ion (Thandapani et al., 2017). The appearance of peaks in the specific phosphate group shows that a cross-link between chitosan and STPP has been successfully formed as a result of the ionic interaction between the positive group on chitosan (-NH₃) and the negatively charged group PO₃⁻ group or PO₄³⁻ group (Yang et al., 2021).

The interaction of TM with Cs in nanocomposites is responsible for the spectral shifts of chitosan functional groups (see Figure 4). The shift towards larger wavenumbers (blue shift) appeared due to stronger bonds in the functional group. Meanwhile, the wavenumber shifts in a smaller direction (redshift) due to the weakened bonds in the functional groups (Nugrahaningty et al., 2021).



Figure 4 FTIR spectra of (A) NCs, (B) Ni-NCs, (C) Cu-NCs (D) Zn-NCs, and (E) Ag-NCs

Figure 4 shows that the vibrational band of -CH stretching at 2855-2930 cm⁻¹, and P=O stretching at 1107 cm⁻¹ is constant. The vibrational band of the protonated amine at 1603 cm⁻¹ and the P-O at 929 cm⁻¹ exhibited a blue shift in all nanocomposites, showing that N-H and P-O bending grew stronger. This modification implies that the metal has been linked to the -NH₃⁺ and P-O groups. The amine group (-NH₂), which is protonated from chitosan, does not directly bind to metal ions but is used to produce chitosan beads. This increases the number of active sites that can bind metal ions. Based on FTIR spectra analysis, the interactions that occurred between TM and NCs can be estimated. The potential interaction between TM and nanochitosan linked with STPP is shown in Figure 5.

3.3. Morphology of TM-NCs

Figure 6 shows similarities between the morphology of TM-NCs samples. The morphology was generally irregular, with rough particles that showed agglomeration, and a green color signifying the presence of TM bound to NCs. Energy-dispersive X-ray (EDX) analysis of each sample showed that the metal was present in chitosan. Cu-NC nanocomposites showed a morphology with spherical lumps, which was inconsistent with the results of previous research that the structure of Cu-NCs was cubic (Logpriya et al., 2018). For example, the results of EDX analysis showed that Ni-NCs contained 53.11% C elements, 18.86% O elements, and 7.45% Ni elements. EDX spectra analysis showed that Cu-NCs comprised 63.71% C elements, 12.01% O elements, and 12.93% Cu elements. Meanwhile, the EDX of Zn-NCs showed the presence of C, O, and Zn elements of 46.83%, 18.89%, and 18.66%, respectively. Ag-NCs contain 56.27% C, 15.46% O, and 10.14% Ag elements.



Figure 5 Proposed scheme of TM bonding with NCs linked STPP



Figure 6 Morphology and mapping element of (A). Ni-NCs, (B) Cu-NCs, (C). Zn-NCs, and (D) Ag-NCs

3.4. Antibacterial activities

This research showed the role of TM role in increasing antibacterial activity of chitosan (Figures 7 and 8). However, this contribution was not observed in the gram-positive pathogens *S. pyogenes* ATCC 19615 and *B. cereus* ATCC 10556. The enormous increase in gram-negative antibacterial *E. coli* ATCC 11229 and *K. pneumoniae* ATCC 13883 from NCs after metal addition shows the significant role of TM. Adewuyi et al., (2011) found that adding TM nanoparticles to chitosan increased acidity. Metal ions bound to chitosan enhanced the electrophilic properties of NCs, while chelated metal ions bound to chitosan increased the positive charge density of chitosan. Furthermore, the polycationic amines interacted strongly with the negatively charged bacterial cell surface due to increased charge density. This occurrence is critical for inhibiting bacterial growth (Adewuyi et al., 2011).

Previous research found that the more valence electrons and the smaller the radius of the metal ion, the stronger the interaction with chitosan (Adewuyi et al., 2011). However, due to the most stable electron configuration of Cu, the bond with chitosan weakens, as does its ability to inhibit bacterial growth. This research also discovered that Ni-NCs had the largest inhibitory zone diameter for S. *pyogenes*, measuring 21.74 mm.



Figure 7 A comparison of antibacterial activities between Ni-NCs, Cu-NCs, Zn-NCs, Ag-NCs, and N-NCs against *S. pyogenes, B. cereus, E. coli*, and *K. pneumoniae*

Antibacterial activity is classified into five types. An inhibition diameter of a material less than 5 mm is classified as not having antibacterial properties. Antibacterial power is classified as insignificant in an inhibition zone of 9-12 mm, 13-15 mm weak, 16-18 mm is good, and greater than 18 mm is considered very strong (Hameed, 2014). In this research, Ni-NCs exhibited powerful antibacterial properties against gram-positive bacteria. Ni-NCs had an inhibitory zone of 21.74 mm against the gram-positive bacterium S. pyogenes. Cu-NCs had weak antibacterial properties due to the diameter of less than 15 mm, except for the inhibitory diameter of S. pyogenes. Antibacterial activities of Ni-NCs, Zn-NCs, and Ag-NCs nanocomposites were comparable to the Gold (Au) metal-based antibacterial material (Sathiyaraj et al., 2021), terbium sulfide nanocomposites capped with chitosan (CS-Tb₂S₃ NCs) (Kusrini et al., 2023a) and zinc sulfide capped with chitosan (CS-ZnS nanocomposites) (Kusrini et al., 2023b). Both CS-Tb₂S₃ NCs and CS-ZnS NCs have antibacterial activities against Staphylococcus aureus (Kusrini et al., 2023a; 2023b). The antifungal activities of nano- and micro particles of Fe₃O₄ CS/Sm/Ranitidine composites against Aspergillus niger have also been recently reported by Kusrini et al. (2025). The Fe₃O₄ CS/Sm/Ranitidine composites with a concentration of 1000 μ g/mL showed an inhibition zone of the fungal strain in the range from 16.33 mm to 18.33 mm, highlighting their strong antifungal activities.



Figure 8 Antibacterial inhibition image of negative control, NCs, Ni-NCs, Cu-NCs, Zn-NCs, and Ag-NCs against (A) *S. pyogenes*, (B) *B. caerus*, (C) *E. coli*, and (D) *K. pneumonia* in vitro

TM-NCs have antibacterial activity due to their ability to interact with bacterial cell membranes. This interaction inhibits DNA replication, cell division, cell structure, and cell respiration, increasing membrane permeability. TM-NCs interfere, causing the bacterial cell surface to expand and destroy membrane integrity, leading to cell death (Wang et al., 2020; Hosseinnejad and Jafari, 2016). According to previous research (Mirbagheri et al., 2024; Ke et al., 2021; Li and Zhuang, 2020), the mechanism of antibacterial action of TM-NCs can be divided into several phases. First, electrostatic interactions between cationic amine groups in TM-NCs and negatively charged bacterial cell walls increase membrane permeability and intracellular compound release. In the second phase, TM nanoparticles diffuse into the cell and form a hole, allowing the bacterial membrane to leak and eventually leads to cell death. Another possible mechanism is chitosan coating the bacterial surface, preventing nutrients and oxygen from entering the cell.

4. Conclusions

In conclusion, TM-NCs were successfully synthesized as antibacterial agents without a reduction phase. The results showed that TM caused no structural damage to the host material (chitosan). Furthermore, the metals were used as the oxide phase and distributed on the surface of chitosan. The interaction between TM and nanochitosan was through the protonated NH₂ and P-O functional groups of chitosan with irregular shapes and sizes. It was observed that the modification and addition of metals to these composites enhanced antibacterial activity of chitosan. Ni-NCs had the strongest antibacterial properties with an inhibitory zone of 21.74 mm against the gram-positive bacterium *S. pyogenes*. It was also found that antibacterial properties of TM were closely related to the periodic table of elements, with antibacterial properties decreasing from left to right.

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Author Contributions

KDN – conceptualization, supervision and analysis; EK – Analysis, writing & review; SS – Experiment & Investigation; DF -Investigation & characterization; AU- Analysis, Editing & review; TK - Formal analysis; SJS – Review.

Conflict of Interest

The authors declare no conflicts of interest.

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